

Innovative**Caring****Inclusive****Collaborative**

University Court Statement of Primary Responsibilities

This Statement of Primary Responsibilities is based on the CUC Guide for Members of Higher Education Governing Bodies in the UK: Governance Code of Practice (2009). It is consistent with The Abertay University (Scotland) Order of Council 2019.

The primary responsibilities of Court, as the governing body of the University, are:

1. To approve the mission and strategic vision of the University, long-term academic and business plans and key performance indicators (KPIs); to ensure that these meet the interests of stakeholders; and to ensure that there are opportunities for Court to provide strategic input in the development of key strategies and long-term plans such that their approval can be considered by Court without conflict of interest.
2. To appoint a Principal and Vice-Chancellor as chief executive, and to put in place suitable arrangements for monitoring their performance.
3. To appoint a secretary to Court and to ensure that, if the person appointed has managerial responsibilities in the University, there is an appropriate separation in the lines of accountability.
4. To delegate authority to the Principal and Vice-Chancellor of the University, as chief executive, for the academic, corporate, financial, estate and personnel management of the University; and to establish and keep under regular review the policies, procedures and limits within such management functions as shall be undertaken by and under the authority of the Principal.
5. To ensure the establishment and monitoring of systems of control and accountability, including financial and operational controls and risk assessment, and procedures for handling internal grievances and 'whistleblowing' complaints and for managing conflicts of interest.
6. To ensure that processes are in place to monitor and evaluate the performance and effectiveness of the University against the plans and approved key performance indicators, which should be – where possible and appropriate – benchmarked against other comparable institutions.
7. To establish processes to monitor and evaluate the performance and effectiveness of Court itself.

8. To conduct its business in accordance with best practice in higher education corporate governance and with the principles of public life drawn up by the Committee on Standards in Public Life.
9. To safeguard the good name and values of the University.
10. To be the employing authority for all staff in the University and to approve a human resources strategy.
11. To ensure that non-discriminatory systems are in place to provide equality and diversity of opportunity for staff and students.
12. To be the principal financial and business authority of the University, to ensure that proper books of account are kept, to approve the annual budget and financial statements, and to have overall responsibility for the University's assets, property and estate.
13. To be the University's legal authority and, as such, to ensure that systems are in place for meeting all the University's legal obligations, including those arising from contracts and other legal commitments made in the University's name.
14. To make such provision as it thinks fit for the general welfare of students, in consultation with Senate.
15. To act as trustee for any property, legacy, endowment, bequest or gift in support of the work and welfare of the University.
16. To ensure that the University's constitution is followed at all times and that appropriate advice is available to enable this to happen.
17. Court shall approve and keep under review a scheme of delegated authority to the Principal and other senior and committees.

Related Policy Documents and Supporting Documents

Legislation	The Abertay University (Scotland) Order of Council 2019 ; Higher Education Governance (Scotland) Act 2016
Strategy	Strategy 2025-30 Abertay University
Policy	University Court Standing Orders ; Scheme of Delegation and Decision-Making Powers ; The Role of the Chair of Court ; The Role of the Vice-Chair of Court ; The Role of the Principal and Vice-Chancellor ; The Role of the Secretary to Court ; The Role of the Court Intermediary ; The Role of the Committee Chair ; Terms of Reference Audit and Risk Committee ; Terms of Reference Exceptions Committee ; Terms of Reference Finance and Corporate Performance Committee ; Terms of Reference Governance and Nominations Committee ; Terms of Reference People, Health and Equality Committee ; Terms of Reference Remuneration Committee ; Code of Conduct for Members of Court
Procedures	N/A
Guidelines	Scottish Code for Good Higher Education Governance 2023
Local Protocol	N/A
Forms	N/A

Additional Information

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